

sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

JAMES A. MOORER

Serial No.: 09/505,556

Filed: February 17, 2000

For: CD PLAYBACK AUGMENTATION



Attention:

Application Branch

San Francisco, California

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on May 2, 2000.

Brenda J. Dolly

*Brenda J. Dolly* May 2, 2000

Signature

Date

TRANSMITTAL OF MISSING PARTS

Sir:

Transmitted herewith are the missing parts of the above-identified application:

1. A Patent Application Declaration.
2. A Power of Attorney by Sonic Solutions.
3. An Assignment by Inventors to Sonic Solutions along with Form PTO-1595.
4. A Certificate Under 37 C.F.R. Section 3.73(b) Establishing Right of Assignee to Take Action.
5. A copy of the Notice to File Missing Parts of Application.



6. A verification of small entity status.
7. A check totaling \$1,789 is enclosed, and covers the basic filing fee, additional claims, and multiple dependent claims fee (1,684), the missing parts fee (65), and the recordal of assignment fee (40) under small entity status.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.

Dated: May 1, 2000

Respectfully submitted,

Gerald P. Parsons

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Atty. Docket: SONC.003US0

650-849-6600

New

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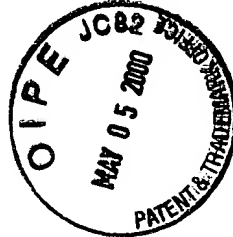
## FORMALITIES LETTER



\*OC00000005059041\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/505,556	02/17/2000	James A. Moorner	SONC.003US0

Majestic Parsons Siebert and Hsue P C  
Four Embarcadero Center Suite 1100  
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Date Mailed: 04/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2642.
  - \$1602 for 89 total claims over 20.
  - \$780 for 10 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3462.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/08/2000 15:04:00 00000029 09505556

01 FC:201 245.00  
02 FC:202 390.00  
03 FC:203 619.00  
04 FC:204 130.00  
05 FC:205 65.00